

Generalization of the Nambu-Goldstone theorem

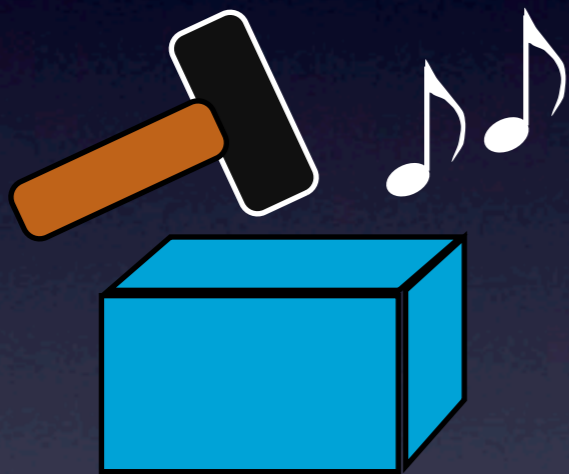
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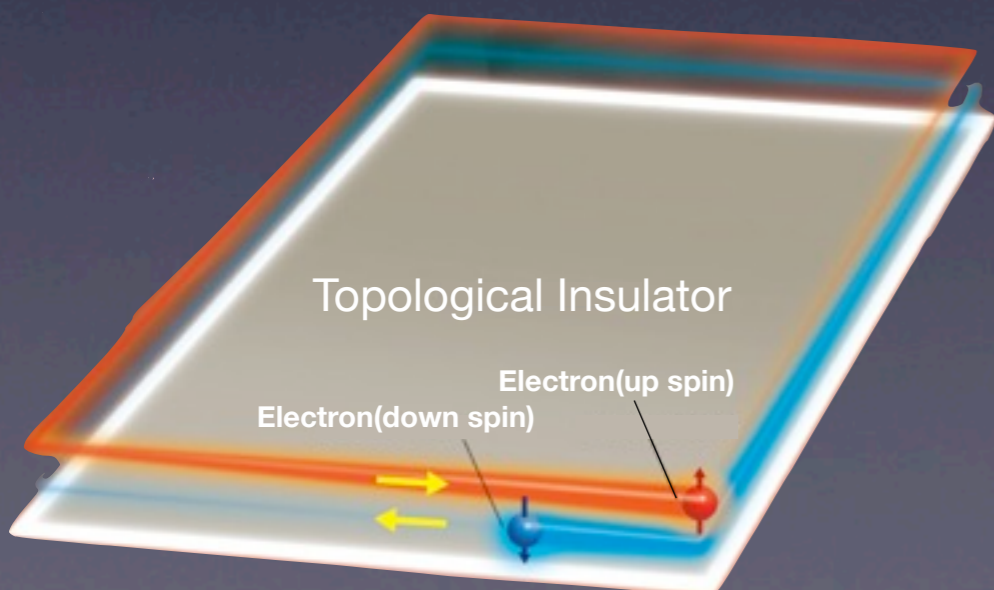
Zero modes in nature



Light (Photon)
Gauge symmetry



Crystal Vibrations (Phonon)
Spontaneous symmetry
breaking of translation



**Edge modes in
topological insulator**
Topological

Spontaneous Symmetry Breaking the Universe:

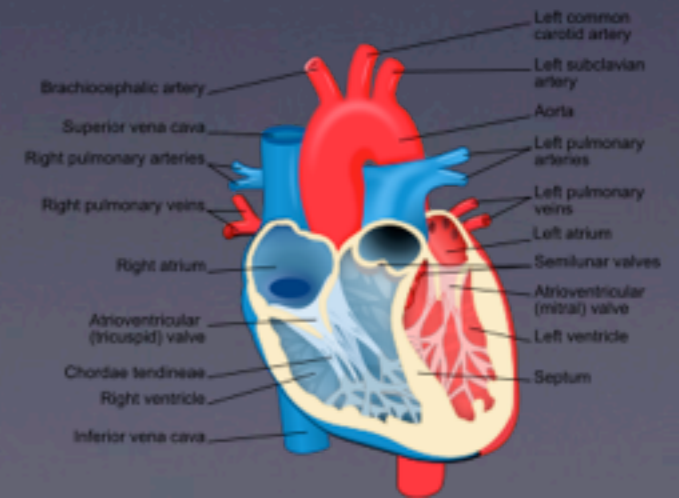


Particle-antiparticle
Gauge symmetry
Chiral symmetry...

More familiar cases:

Handedness Traffic (left or right hand)

Heart



Nambu-Goldstone theorem

Spontaneous breaking
of continuum symmetry

→ gapless mode (NG mode)

- Relation between broken symmetries and NG modes?
- Dispersion of NG mode?

It is well studied in each case.

QCD, superfluid, ferromagnet,...

Nambu-Goldstone theorem (Lorentz invariant system)

Nambu('60), Goldstone(61), Nambu Jona-Lasinio('61),
Goldstone, Salam, Weinberg('62).

$$N_{NG} = N_{BS}$$

of NG modes

of broken symmetries

Dispersion relation

$$E_k = |k|$$

NG modes in QCD

Pion

SSB of chiral symmetry

$$SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$$

$$N_{\text{BS}} = 3, \quad N_{\text{NG}} = 3$$

Dispersion: $E = |k|$ Type-I

NG modes in Kaon condensed CFL phase

Miransky, Shovkovy ('02) Schafer, Son, Stephanov, Toublan, and Verbaarschot ('01)

$$SU(2)_I \times U(1)_Y \rightarrow U(1)_{\text{em}}$$

$$N_{\text{BS}} = 3, \quad N_{\text{NG}} = 2$$

Dispersion: $E \propto k^2$ Type-II

Example of NG mode in nonrelativistic systems

SSB of space-time symm.

Phonon in crystal

translation, rotation, Galilei

$$N_{\text{BS}} = 9, \quad N_{\text{NG}} = 3$$

SSB of internal symm.

Spin waves in ferromagnet

SSB of rotation $O(3) \rightarrow O(2)$

$$N_{\text{BS}} = 2, \quad N_{\text{NG}} = 1$$

Generalization

Nielsen - Chadha ('76)

$$N_{\text{type-I}} + 2N_{\text{type-II}} \geq N_{\text{BS}}$$

$$\text{Type-I: } E_k \propto |k|^{2n+1} \quad \text{Type-II: } E_k \propto |k|^{2n}$$

Schafer, Son, Stephanov, Toublan, and Verbaarschot ('01)

$$\langle [Q_a, Q_b] \rangle = 0 \quad \longrightarrow \quad N_{\text{NG}} = N_{\text{BS}}$$

Watanabe - Brauner ('11)

$$N_{\text{BS}} - N_{\text{NG}} \leq \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$$

Example of Type-II modes

| | N_{BS} | $N_{\text{type-I}}$ | $N_{\text{type-II}}$ | $\frac{1}{2}\text{rank}\langle[Q_a, Q_b]\rangle$ | $N_{\text{type-I}} + 2N_{\text{type-II}}$ |
|---|-----------------|---------------------|----------------------|--|---|
| Spin wave in ferromagnet $O(3) \rightarrow O(2)$ | 2 | 0 | 1 | 1 | 2 |
| NG modes in Kaon condensed CFL $SU(2) \times SU(1)_Y \rightarrow U(1)_{\text{em}}$ | 3 | 1 | 1 | 1 | 3 |
| Kelvin waves in vortex superfluid translation P_x, P_y | 2 | 0 | 1 | 1 | 2 |

Known examples satisfy

$$N_{\text{type-I}} + 2N_{\text{type-II}} = N_{\text{BS}} \quad N_{\text{BS}} - N_{\text{NG}} = \frac{1}{2}\text{rank}\langle[Q_a, Q_b]\rangle$$

Recent development

Watanabe, Murayama ('12), YH ('12)

- $N_{\text{BS}} - N_{\text{NG}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$
- $N_{\text{type-I}} + 2N_{\text{type-II}} = N_{\text{BS}}$
- $N_{\text{type-II}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$

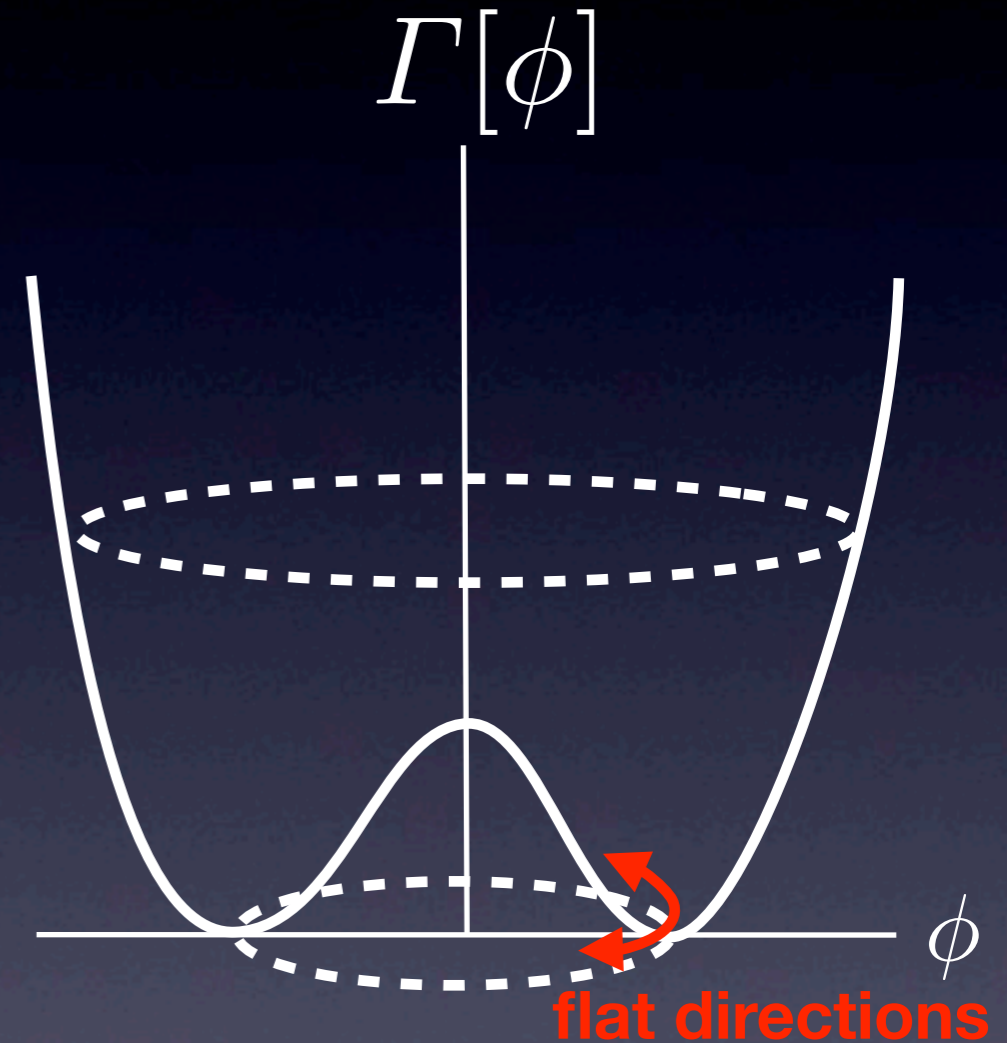
Spontaneous Symmetry breaking

$$\langle [\phi_i, Q_a] \rangle \equiv \text{tr} \rho [\phi_i, Q_a] \neq 0$$

$$a = 1, \dots, N_{\text{BS}}$$

Vacuum: $\rho = |\Omega\rangle\langle\Omega|$

In medium: $\rho = \frac{\exp(-\beta(H - \mu N))}{\text{tr} \exp(-\beta(H - \mu N))}$

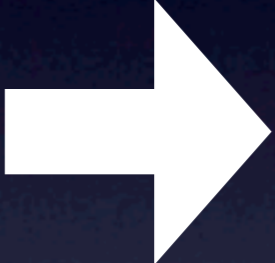


Suppose the classical action is invariant under

$$\phi_i \rightarrow \phi_i + \epsilon^a [Q_a, \phi_i]$$

Effective action $\Gamma[\phi]$ satisfies

$$\int d^d x \frac{\delta \Gamma[\phi]}{\delta \phi_i(x)} \langle [Q_a, \phi_i(x)] \rangle = 0$$


$$\int d^d x \frac{\delta^2 \Gamma[\phi]}{\delta \phi_j(y) \delta \phi_i(x)} \langle [Q_a, \phi_i(x)] \rangle = 0$$

Inverse of propagator $D_{ji}^{-1}(y, x) = \frac{\delta^2 \Gamma[\phi]}{\delta \phi_j(y) \delta \phi_i(x)}$

has a zero mode.

of independent zero modes
= # of independent eigenvectors
(elastic variables)

For Lorentz invariant system

Goldstone, Salam, Weinberg ('62)

$$\langle [Q_a, \phi_i(x)] \rangle \equiv M_i^{(a)}$$

$$\rightarrow D_{ji}^{-1}(p^2 = 0) M_i^{(a)} = 0$$

$$N_{\text{NG}} = N_{\text{BS}}$$

In general, $N_{\text{BS}} \neq$ num. of independent eigenvectors

$\langle [Q_a, \phi_i(x)] \rangle$ is not always the eigenvector.

Ex.: String

Low - Manohar's argument

Low, and Manohar ('02)

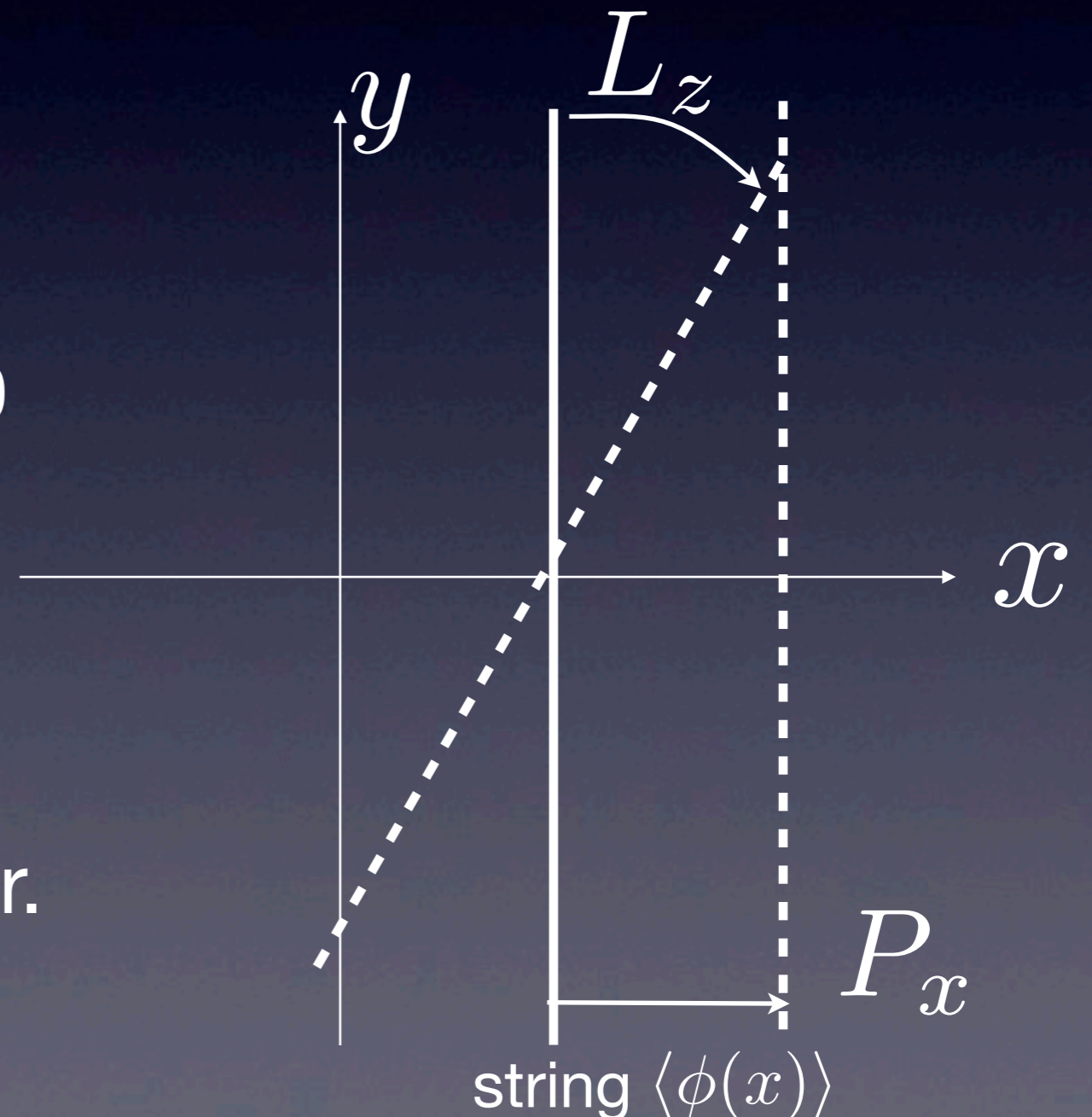
order parameter: $\langle \phi(x) \rangle$

trans.: $\langle [P_x, \phi] \rangle = i\partial_x \langle \phi \rangle \neq 0$

rot.: $\langle [L_z, \phi] \rangle = -iy\partial_x \langle \phi \rangle \neq 0$

Two broken symm.,
but one elastic variable.

Rotation is not the eigenvector.



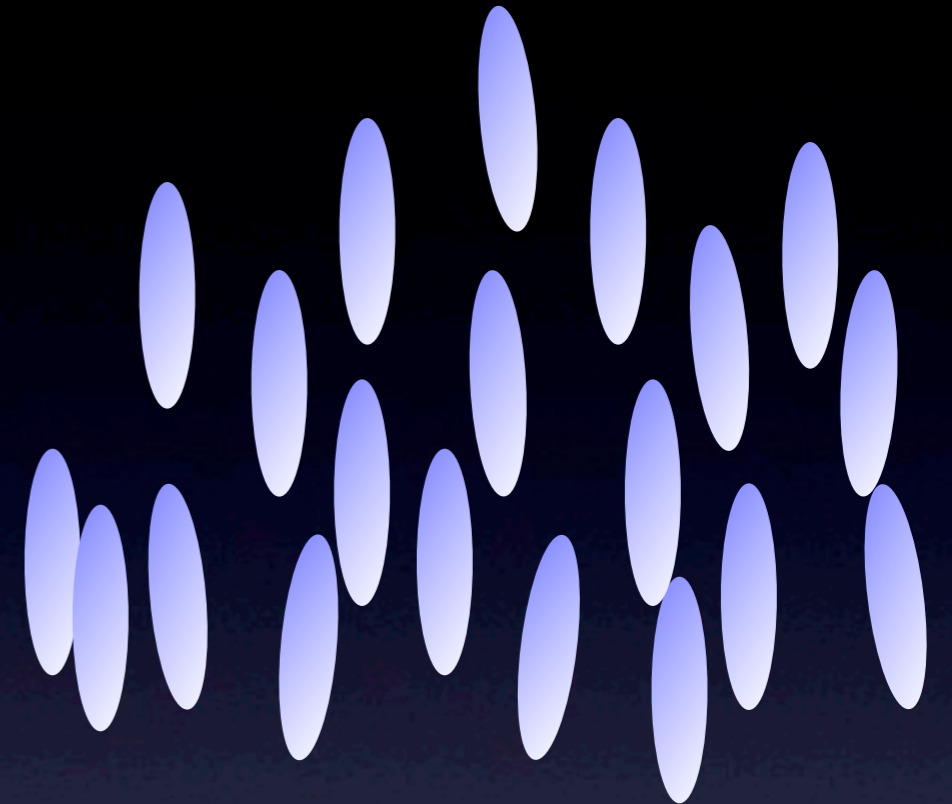
Nontrivial Ex.: nematic liquid crystal

Nematic phase

spatial rotation $O(3) \rightarrow O(2)$

Two broken symm.

Two elastic variables



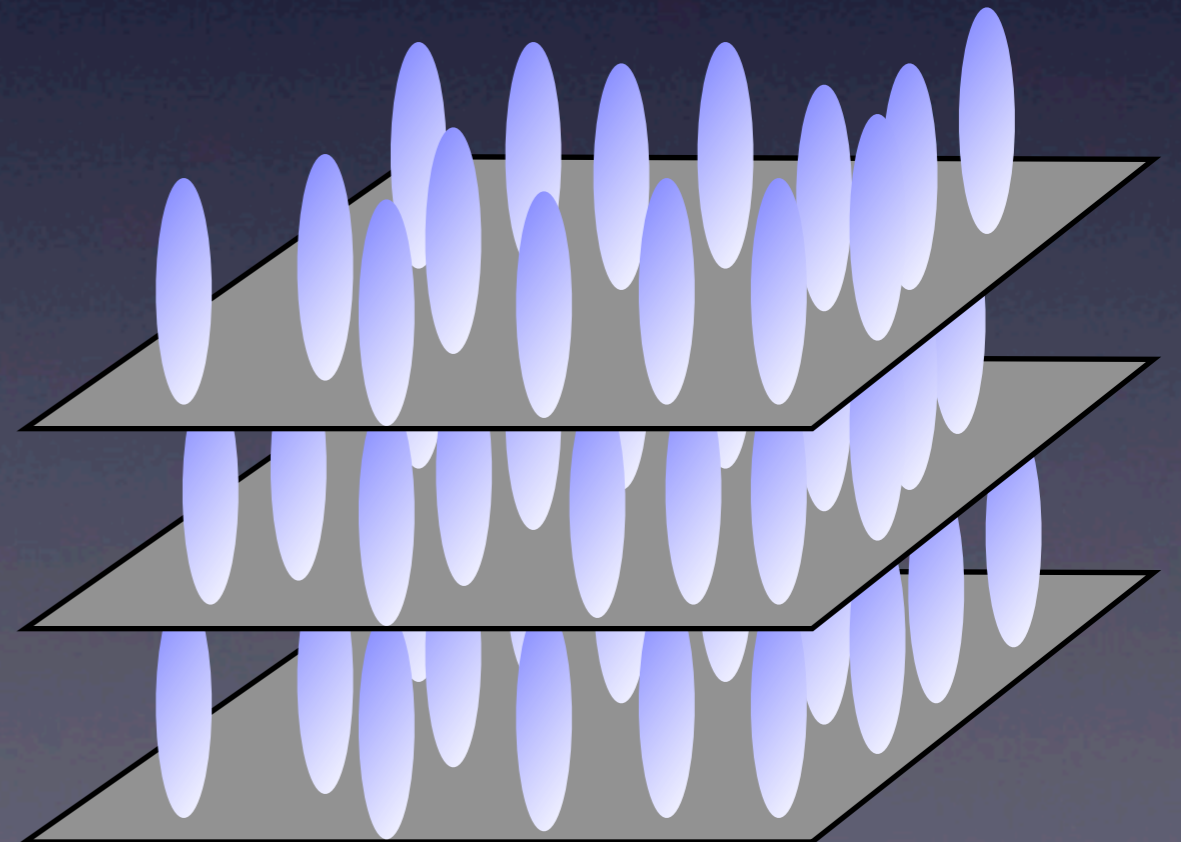
Smectic A phase

spatial rotation $O(3) \rightarrow O(2)$

SSB of the translation.

Three broken symm.

One elastic variable



of independent NG modes
is not always # of elastic variables

Ex.: spin wave in ferromagnet

Spin symm.: $O(3) \rightarrow O(2)$

Broken symm.: S_x, S_y

Two eigenvectors: $\epsilon^{ijz} \langle S_z \rangle$

Two independent elastic variables

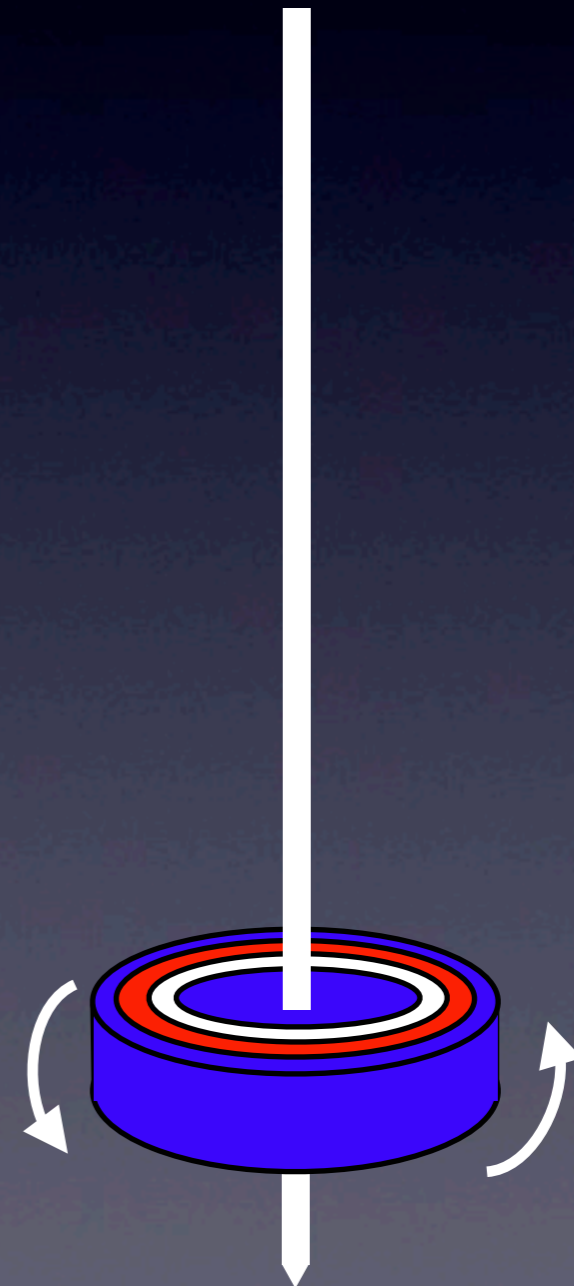


One spin wave

Intuitive example for type-II NG modes

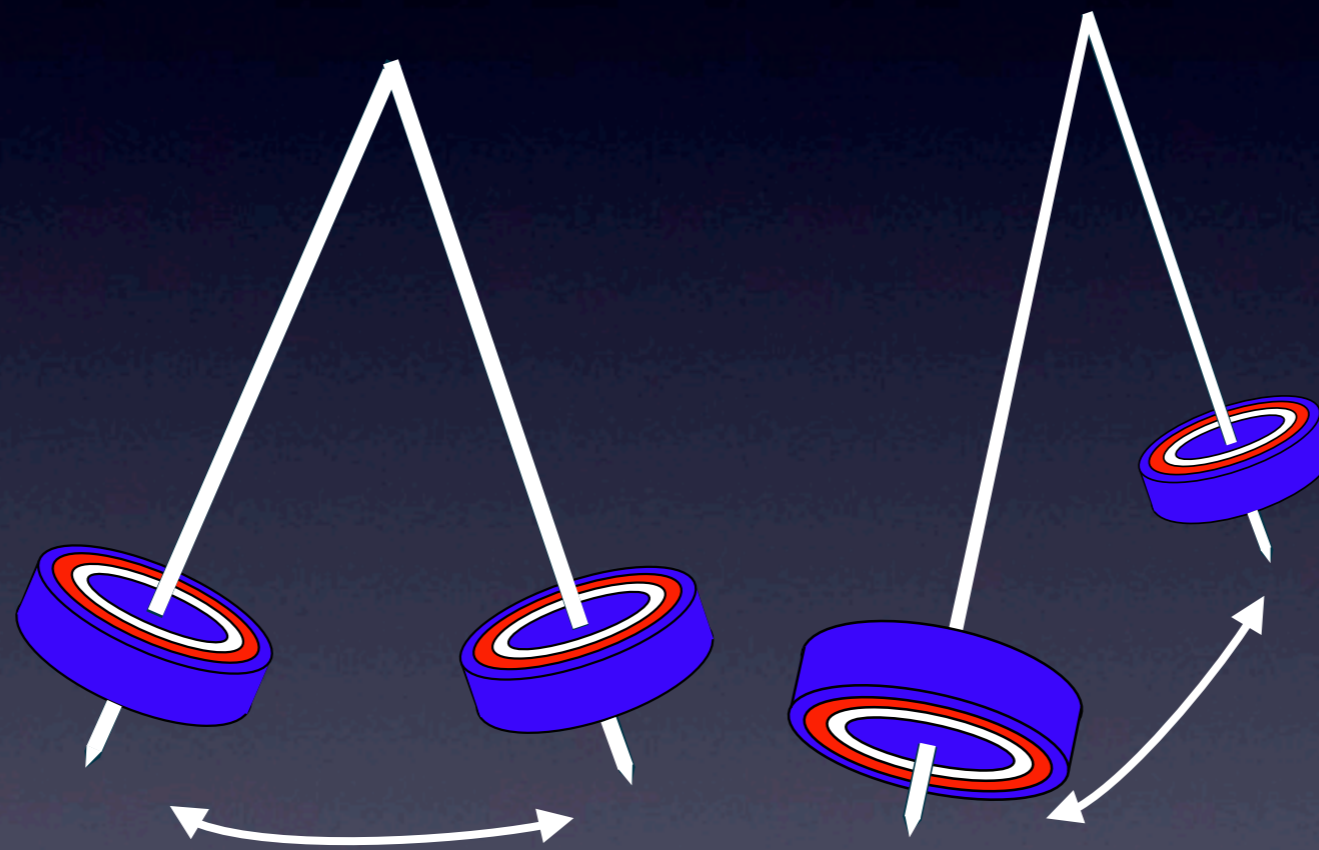
Pendulum with a spinning top

- Rotation symmetry is explicitly broken by a weak gravity
- Rotation along with z axis is unbroken.
- Rotation along with x or y is broken.
- The number of broken symmetry is two.



Intuitive example for type-II NG modes

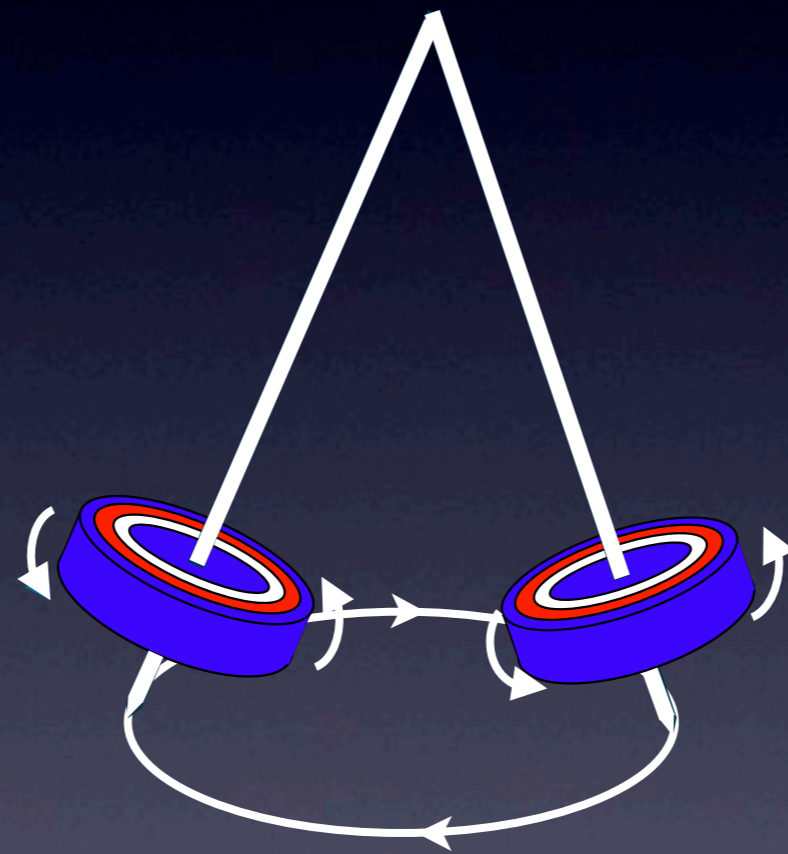
Pendulum has two oscillation motions



if the top is not spinning.

Intuitive example for type-II NG modes

If the top is spinning,



the only one rotation motion (Precession) exists.

$$\text{In this case, } \{L_x, L_y\}_P = L_z \neq 0$$

The number of modes decreases if the angular momentum is nonzero.

What is a generalization?

Correspondence

**Classical
Hamiltonian
formalism**

$$\{A_n, A_m\}_P$$

$$H(A_n)$$

**Projection
operator
method**

$$-i\langle [A_n, A_m] \rangle$$

$$\Gamma(A_n)$$



Justification: projection operator method

Mori ('65)

Spontaneous symmetry breaking

$$\langle [Q_a, \phi_i(x)] \rangle \equiv M_i^{(a)}$$

Q and ϕ : canonical pair

$$\langle [Q_a, Q_b] \rangle = 0$$

Canonically independent (Type-I)

$$\langle [Q_a, Q_b] \rangle \neq 0$$

Not canonically independent (Type-II)

cf. Nambu ('04)

The number of Type-II pairs

$$N_{\text{type-II}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$$

The number of Type-I pairs

$$N_{\text{type-I}} = N_{\text{BS}} - 2N_{\text{type-II}}$$

- $N_{\text{type-I}} + 2N_{\text{type-II}} = N_{\text{BS}}$

- $N_{\text{BS}} - N_{\text{NG}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$

- $N_{\text{type-II}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$

Dispersion relation

Simple Hamiltonian system

$$\{\phi, n\}_P = 1$$

$$H = \frac{a(k)}{2}n^2 + \frac{b(k)}{2}\phi^2$$

$$\partial_t \phi = \{\phi, H\}_P = a(k)n$$

$$\partial_t n = \{n, H\}_P = -b(k)\phi$$

$$\partial_t^2 \phi + a(k)b(k)\phi = 0$$

$$\partial_t^2 \phi + a(k)b(k)\phi = 0$$

$$a(k) = a_0 + a_2 k^2$$

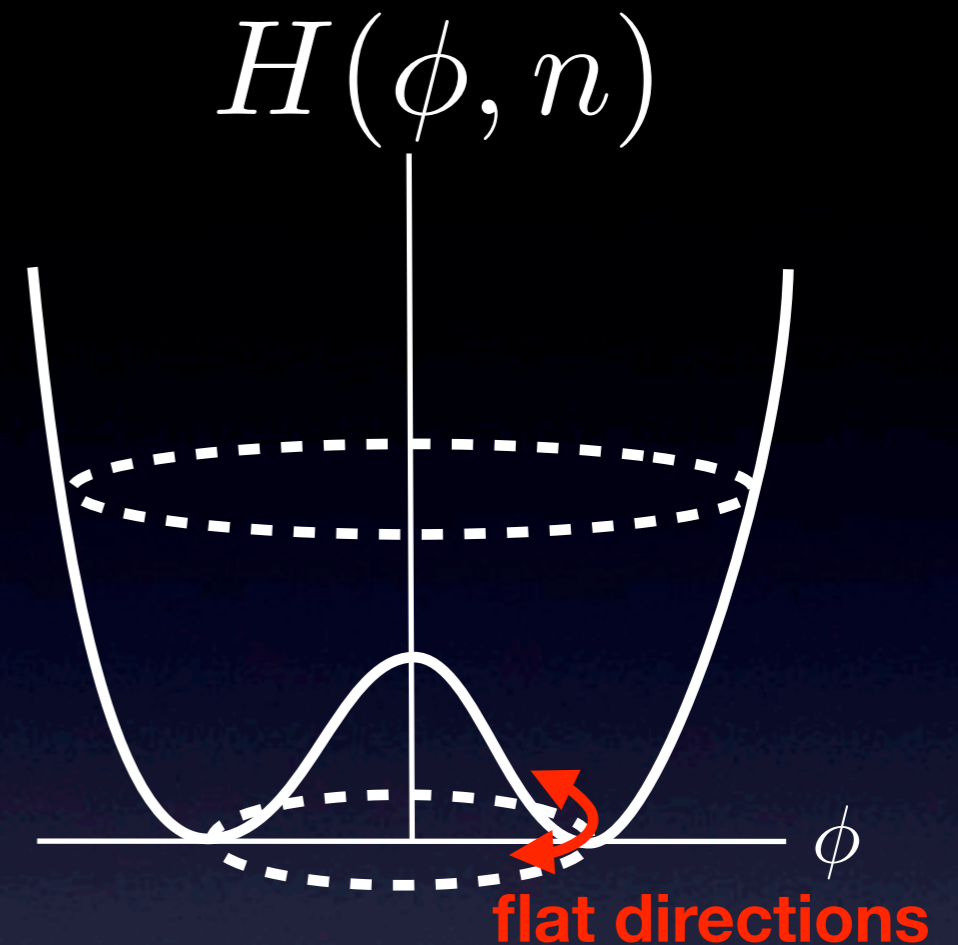
$$b(k) = b_0 + b_2 k^2$$

$$a(k)b(k) = a_0 b_0 + (a_0 b_2 + a_2 b_0)k^2 + a_2 b_2 k^4$$

| | a_0 | b_0 | エネルギー |
|--------------------------|-------------|-------------|------------------|
| gapped | nonzero | nonzero | $\sqrt{a_0 b_0}$ |
| gapless (type-I) | zero | nonzero | $\sim k $ |
| gapless (type-II) | zero | zero | $\sim k^2$ |

cf. Nambu ('04)

$$H = \frac{a(k)}{2} n^2 + \frac{b(k)}{2} \phi^2$$



Type-I

$$\langle [Q, \phi] \rangle \neq 0, \langle [Q, n] \rangle = 0$$

$$a(k) \simeq a_0, \quad b(k) \simeq b_2 k^2 \quad \longrightarrow \quad E_k = \sqrt{a_0 b_2} k$$

Type-II

$$\langle [Q_1, n_2] \rangle \neq 0, \langle [Q_2, n_1] \rangle = 0 \text{ with } n = n_2 \quad \phi = n_1$$

$$a(k) \simeq a_2 k^2, \quad b(k) \simeq b_2 k^2 \quad \longrightarrow \quad E_k = \sqrt{a_2 b_2} k^2$$

Formalism

- **What are canonical pairs?**
- **What corresponds to Poisson bracket?**
- **What is Hamiltonian?**

Projection operator method

Mori ('65)

$$\partial_t A_n(t, \mathbf{k}) = i[H, A_n(t, \mathbf{k})]$$



Generalized Langevin Eq.

$$\partial_t A_n(t, \mathbf{k}) = M_{nm}(\mathbf{k}) \Gamma^{ml}(\mathbf{k}) A_m(t, \mathbf{k})$$

Streaming term

$$- \int_0^\infty ds K_{nm}(t-s, \mathbf{k}) \Gamma^{ml}(\mathbf{k}) A_l(s, \mathbf{k}) + R_n(t, \mathbf{k})$$

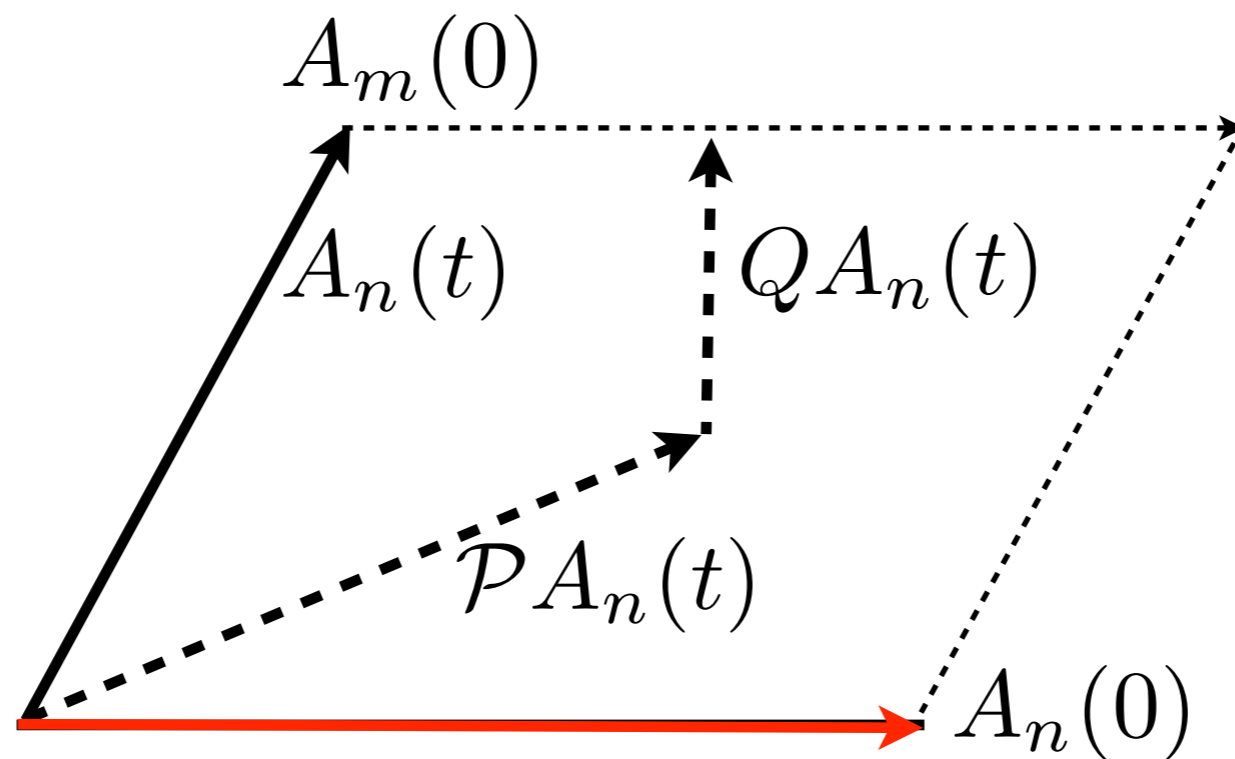
Dissipation term

Noise

Projection operator method

Mori ('65)

A set of operators $\{A_n\}$



Projection operator method

Mori ('65)

Expectation value

$$\langle \mathcal{O} \rangle \equiv \frac{\text{tr} e^{-\beta H} \mathcal{O}}{\text{tr} e^{-\beta H}}$$

Inner product

$$(\mathcal{O}_1, \mathcal{O}_2) \equiv \frac{1}{\beta} \int_0^\beta d\tau \langle e^{\tau H} \mathcal{O}_1 e^{-\tau H} \mathcal{O}_2^\dagger \rangle$$

Metric

$$g_{nm}(\mathbf{x} - \mathbf{y}) \equiv (A_n(0, \mathbf{x}), A_m(0, \mathbf{y}))$$

Covariant vector

$$A^n(t, \mathbf{x}) \equiv \int d^3 y g^{nm}(\mathbf{x} - \mathbf{y}) A_m(t, \mathbf{y})$$

Projection operator method

Mori ('65)

Projection operator

$$PB(t, \boldsymbol{x}) \equiv \int d^3y A_n(0, \boldsymbol{y})(B(t, \boldsymbol{x}), A^n(0, \boldsymbol{y}))$$

Streaming term

$$M_{nm}(t, \boldsymbol{x}) \equiv -i \langle [A_n(0, \boldsymbol{x}), A_m^\dagger(0, \mathbf{0})] \rangle$$

Memory function

$$K_{nm}(t, \boldsymbol{x}) \equiv \beta \theta(t) (R_n(t, \boldsymbol{x}), R_m(0, \mathbf{0}))$$

Noise term

$$R_n(t, \boldsymbol{x}) \equiv e^{itQ\mathcal{L}} Qi\mathcal{L}A_n(0, \boldsymbol{x}) \quad \text{with } Q = 1 - P$$

Projection operator gives

$$(z\delta_n^l - (M_{nk}(\mathbf{k}) - K_{nk}(z, \mathbf{k}))\Gamma^{kl}(\mathbf{k}))G_l^m(z, \mathbf{k}) = \delta_n^m$$

in Laplace-Fourier space

where $G_n^m(t, \mathbf{x}) \equiv (A_n(t, \mathbf{x}), A^m(0, \mathbf{0}))$

Correspondence

**Classical
Hamiltonian system**

**Quantum
system**

$$\{\phi_n, \phi_m\}_P$$

$$H(\phi_n)$$



$$-i\langle[\phi_n, \phi_m]\rangle$$

$$\Gamma(\phi_n)$$

Ex.: Ferro and antiferro magnet

Spin: $s_i(n)$ $i = x, y, z$

Symm.: $O(3)$

charge: $Q_i = \sum s_i(n)$

current algebra: $[Q_i, s_j^n(n)] = i\epsilon_{ijk}s_k(n)$

Ferro  $\langle s_z(n) \rangle = m$

Antiferro  $\langle s_z(n) \rangle = (-1)^n m$

NG mode in Ferromagnet

Commutation relation:

$$-i\langle [Q_x, Q_y] \rangle = \langle Q_z \rangle = mV$$

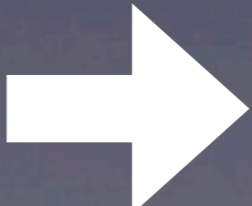
NG field: $s_i(k) \equiv \sum s_i(n) e^{ik \cdot n}$ $i = x, y$

Susceptibility: $\chi(k) \equiv \frac{1}{TV} (s_i(k), s_i(k)) \simeq \frac{1}{ak^2}$

Canonical pair: $-i \frac{1}{V} \langle [s_x(k), s_y(k)] \rangle \simeq m$

Equation of motion:

$$\partial_t s_i(k) = amk^2 \epsilon_{ij} s_j(k)$$

 $E_k = \pm amk^2$ Type-II

NG mode in antiferromagnet

Commutation relation:

$$-i\langle [Q_x, Q_y] \rangle = \langle Q_z \rangle = 0$$

NG field: $\phi_i(k) \equiv \sum_n (-1)^n s_i(n) e^{ik \cdot n} \quad i = x, y$

Susceptibilities: $\chi_s(k) \equiv \frac{1}{TV} (s_i(k), s_i(k)) \simeq \frac{1}{a}$

$$\chi_\phi(k) \equiv \frac{1}{TV} (\phi_i(k), \phi_i(k)) \simeq \frac{1}{bk^2}$$

Canonical pair: $-i \frac{1}{V} \langle [s_i(k), \phi_j(k)] \rangle \simeq \epsilon_{ij} m$

Equation of motion:

$$\partial_t \phi_i(k) = ma \epsilon_{ij} s_j(k) \quad \partial_t s_i(k) = \epsilon_{ij} m b k^2 \phi_j(k)$$

→ $E_k = \pm \sqrt{abk} \quad \text{Type-I}$

Nambu-Goldstone Theorem

Spontaneous symmetry breaking

$$-i\langle [Q_a, \phi_i(t, \mathbf{x})] \rangle \equiv [M_{n\phi}]_{ai},$$

$$\det M_{n\phi} \neq 0 \quad \longrightarrow \quad \text{SSB}$$

$$Q_a = \int d^3x n_a(t, \mathbf{x}) : \text{Broken charges}$$

$$\phi_i(t, \mathbf{x}) : \text{would-be NG field}$$

Slow variables

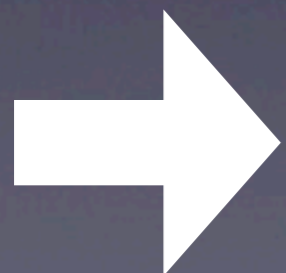
$$A_m = \{ \delta n_a(t, \mathbf{x}), \delta \phi_i(t, \mathbf{x}) \}$$

At $\mathbf{k} = \mathbf{0}$

$$\begin{aligned} \partial_0 \begin{pmatrix} \phi \\ n \end{pmatrix} &= \begin{pmatrix} \mathbf{0} & M_{\phi n} \\ M_{n\phi} & M_{nn} \end{pmatrix} \begin{pmatrix} \Gamma^{\phi\phi} & \Gamma^{\phi n} \\ \Gamma^{n\phi} & \Gamma^{nn} \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix} \\ &= \begin{pmatrix} M_{\phi n} \Gamma^{n\phi} & M_{\phi n} \Gamma^{nn} \\ M_{n\phi} \Gamma^{\phi\phi} + M_{nn} \Gamma^{n\phi} & M_{n\phi} \Gamma^{\phi n} + M_{nn} \Gamma^{nn} \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix} \\ &= \begin{pmatrix} -F^{-1}G & F^{-1} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix} \end{aligned}$$

where $F^{-1} = M_{\phi n} \Gamma^{nn}$ and $G = M_{nn} M_{\phi n}^{-1}$

$$N_{\text{massive}} = \frac{1}{2} \text{rank}(F^{-1}G) = \frac{1}{2} \text{rank}(M_{nn})$$



$$N_{\text{BS}} - N_{\text{NG}} = N_{\text{massive}} = \frac{1}{2} \text{rank}(\langle [Q_a, Q_b] \rangle)$$

Watanabe-Brauner

$$\partial_0 \begin{pmatrix} \phi \\ n \end{pmatrix} = \begin{pmatrix} -F^{-1}G & F^{-1} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix}$$

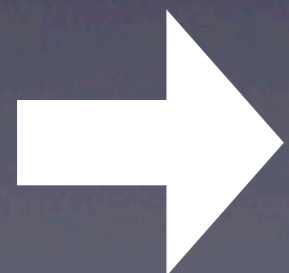
$$\Rightarrow \partial_0^2 \phi = F^{-1}G \partial_0 \phi$$

Type-I NG modes: $F^{-1}G\phi_I = 0$

$$\partial_0^2 \phi_I = 0 \quad N_{\text{type-I}} = N_{\text{BS}} - \text{rank}(M_{nn})$$

Type-II NG modes: others

$$G\partial_0 \phi_{II} = 0 \quad N_{\text{type-II}} = \frac{1}{2} \text{rank}(M_{nn})$$



$$N_{\text{type-I}} + 2N_{\text{type-II}} = N_{\text{BS}}$$

Nielsen-Chadha

At finite temperature

$$\{A_n, A_m\}_P = M_{nm}$$



$$\{A_n, A_m\}_T \equiv M_{nm} - L_{nm}$$

Onsager coefficient

$$L_{nm}(\mathbf{k}) = \int_0^\infty dt \int_0^\beta d\tau \int d^3x e^{-i\mathbf{x}\cdot\mathbf{k}} \langle R_n(t - i\tau, \mathbf{x}) R_m(0, \mathbf{0}) \rangle$$

$$R_n(t, \mathbf{x}) \equiv e^{itQ\mathcal{L}} Q i\mathcal{L} A_n(0, \mathbf{x})$$

$$\mathcal{L} A_n \equiv [H, A_n]$$

Finite temperature

At $k = 0$

$$\partial_0 \begin{pmatrix} \phi \\ n \end{pmatrix} = \begin{pmatrix} L_{\phi\phi} & M_{\phi n} \\ M_{n\phi} & M_{nn} \end{pmatrix} \begin{pmatrix} \Gamma^{\phi\phi} & \Gamma^{\phi n} \\ \Gamma^{n\phi} & \Gamma^{nn} \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix}$$
$$= \begin{pmatrix} -\tilde{F}^{-1}G & \tilde{F}^{-1} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \phi \\ n \end{pmatrix}$$

where $\tilde{F}^{-1} = (M_{\phi n} - L_{\phi\phi}(M_{n\phi})^{-1}M_{nn})\Gamma^{nn}$ and $G = M_{nn}M_{\phi n}^{-1}$

$$N_{\text{massive}} = \frac{1}{2}\text{rank}(\tilde{F}^{-1}G) = \frac{1}{2}\text{rank}(M_{nn})$$

$$N_{\text{BS}} - N_{\text{NG}} = N_{\text{massive}} = \frac{1}{2}\text{rank}(\langle [Q_a, Q_b] \rangle)$$

Effective Lagrangian approach

Leutwyler('94) Watanabe, Murayama ('12)

Write down all possible term

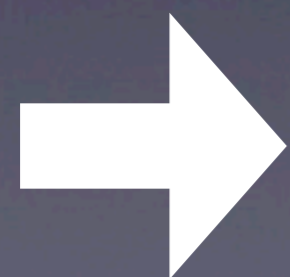
$$\mathcal{L} = \frac{1}{2} \rho_{ab} \pi^a \dot{\pi}^b + \frac{\bar{g}_{ab}}{2} \dot{\pi}^a \dot{\pi}^b - \frac{g_{ab}}{2} \partial_i \pi^a \partial_i \pi^b + \text{higher}$$

No Lorentz symmetry:

The first derivative term may appear.

Lagrangian is invariant under symmetry transformation

up to surface term.



$$\rho_{ab} \propto -i \langle [Q_a, j_b^0(x)] \rangle$$

Watanabe, Murayama ('12)

Summary

For internal symmetry

● Independent elastic variable = N_{BS}

●
$$N_{\text{BS}} - N_{\text{NG}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$$

●
$$N_{\text{type-I}} + 2N_{\text{type-II}} = N_{\text{BS}}$$

●
$$N_{\text{type-II}} = \frac{1}{2} \text{rank} \langle [Q_a, Q_b] \rangle$$

Summary

SSB of space-time symmetry

- Num. of Elastic variables \leq NBS
- How many NG modes exist?

cf. Watanabe and Murayama, [1302.4800](#)

$$1. \quad \frac{\partial}{\partial t} e^{i\mathcal{L}t} = e^{i\mathcal{L}t} i\mathcal{L} = e^{i\mathcal{L}t} \mathcal{P}i\mathcal{L} + e^{i\mathcal{L}t} \mathcal{Q}i\mathcal{L}$$

$$2. \quad \frac{1}{z - i\mathcal{L}} = \frac{1}{z - i\mathcal{L}} (z - \mathcal{Q}i\mathcal{L}) \frac{1}{z - \mathcal{Q}i\mathcal{L}}$$

$$= \frac{1}{z - i\mathcal{L}} (z - i\mathcal{L} + \mathcal{P}i\mathcal{L}) \frac{1}{z - \mathcal{Q}i\mathcal{L}}$$

$$= \frac{1}{z - \mathcal{Q}i\mathcal{L}} + \frac{1}{z - i\mathcal{L}} \mathcal{P}i\mathcal{L} \frac{1}{z - \mathcal{Q}i\mathcal{L}}$$

$$\Rightarrow e^{i\mathcal{L}t} = e^{\mathcal{Q}i\mathcal{L}t} + \int_0^t ds e^{i\mathcal{L}(t-s)} \mathcal{P}i\mathcal{L} e^{\mathcal{Q}i\mathcal{L}s}$$

1. and 2.

$$\frac{\partial}{\partial t} e^{i\mathcal{L}t} = e^{i\mathcal{L}t} \mathcal{P}i\mathcal{L} + \int_0^t ds e^{i\mathcal{L}(t-s)} \mathcal{P}i\mathcal{L} e^{\mathcal{Q}i\mathcal{L}s} \mathcal{Q}i\mathcal{L} + e^{\mathcal{Q}i\mathcal{L}t} \mathcal{Q}i\mathcal{L}.$$

Multiplying $A_n(0) \Rightarrow$ Generalized Langevin Eq.